

FORM BXA-6051P
(REV. 10-89)U.S. DEPARTMENT OF COMMERCE
BUREAU OF EXPORT ADMINISTRATION

THIS SPACE FOR BXA USE

REPORT OF REQUEST FOR RESTRICTIVE TRADE PRACTICE OR BOYCOTT
MULTIPLE TRANSACTIONS (Sheet No. 1)

(For reporting requests described in 769 of the Export Administration Regulations)

NOTICE OF RIGHT TO PROTECT CERTAIN INFORMATION FROM DISCLOSURE.

The Export Administration Act permits you to protect from public disclosure information regarding the quantity, description, and value of the commodities or technical data supplied in Item 9 of this report and in any accompanying documents. **If you do not claim this protection, all of the information in your report and in accompanying documents will be made available for public inspection and copying.** You can obtain this protection by certifying, in Item 5 of the report, that disclosure of the information regarding the quantity, description and value of the commodities or technical data referred to above would place a United States company or individual involved in the report at a competitive disadvantage. If you make such a certification in Item 5, you may remove information regarding the quantity, description, and value of the commodities or technical data supplied by you from Item 9 of the public inspection copy of the report form and from the public inspection copies of the accompanying documents. The withholding of this information will be honored by the Department unless the Secretary determines that disclosure of the information would not place a United States company or individual at a competitive disadvantage or that it would be contrary to the national interest to withhold the information.

A

BATCH

MONTH/YEAR

6

9

This report is required by law (50 U.S.C. App. §2403-1a(b); P.L. 95-52; E.O. 12002; 15 CFR Part 769). Failure to report can result both in criminal penalties, including fines or imprisonment, and administrative sanctions.

INSTRUCTIONS: 1. This form may not include a transaction report that is filed late, nor indicate a decision on request other than those coded in Item 4 below. 2. This form may be used to report on behalf of another United States person if all transactions apply to the person identified in Item 2, but may not be considered as a dual report on behalf of both persons identified in Item 1a and Item 2. 3. Limit each report to 75 transactions or less. 4. Attach as many continuation sheets as needed. Enter sheet number and name of reporting firm on each continuation sheet (starting with Sheet No 2). 5. List each transaction across the continuation sheet, completing all items that apply. Use as many lines as necessary but separate transactions with a blank space or line. 6. Assemble original report form and accompanying documents as a unit, and submit intact and unaltered. 7. Assemble and submit the duplicate copy of report form (marked Duplicate (Public Inspection Copy)) and additional copies of accompanying documents (marked with the legend "Public Inspection Copy.") 4. If you certify, in Item 5, that the disclosure of the information specified there would cause competitive disadvantage, edit the "Public Inspection Copy" of the documents submitted to exclude the specified information and remove the right hand portion of the Duplicate (Public Inspection Copy) of the continuation sheet(s) relating to Column 9. **MULTIPLE TRANSACTIONS:** Public reporting for this collection of information is estimated to average one hour per reported request, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Office of Security and Management Support, Bureau of Export Administration, U.S. Department of Commerce, Washington, D.C. 20230; and to the Office of Management and Budget, Paperwork Reduction Project (0694-0012), Washington, D.C. 20503.

1a. Identify firm submitting this report:

Name: ABU DHABI INTERNATIONAL BANK INC.
Address: 1020 19th STREET, SUITE 500
City, State and ZIP: WASH.D.C. 20036
Country (if other than USA):
Telephone: 202 842 7974
Firm Identification No. (If known) ~~52-1191319~~ 251900

Specify firm type:

- ☐ Exporter
☒ Bank
☐ Forwarder
☐ Carrier
☐ Insurer
☐ Other

1b. Check any applicable box:

- ☐ Revision of a previous report (attach two copies of the previously submitted report)
☐ Resubmission of a deficient report returned by BTR (attach form letter that was returned with deficient report)
☐ Report on behalf of the person identified in item 2

2. If you are authorized to report and are reporting on behalf of another U.S. person, identify that person (e.g., domestic subsidiary, controlled foreign subsidiary, exporter, beneficiary):

Name:
Address:
City, State and ZIP:
Country (if other than USA):
Type of firm: (see list in item 1a)

3. REQUESTING DOCUMENT CODES (use to code Column 6 of continuation sheet)

- C Request to carrier for blacklist certificate (submit two copies of blacklist certificate or transcript of request)
U Unwritten, not otherwise provided for (make transcript of request and submit two copies)
L Letter of credit
R Requisition/purchase order/accepted contract/ shipping instruction
B Bid invitation/tender/proposal/trade opportunity
Q Questionnaire (not related to a particular dollar value transaction)
9 Other written

Submit two copies of each document or relevant page in which the request appears.

4. DECISION ON REQUEST CODES (use to code Column 7 of continuation sheet)

- R Have not taken and will not take the action requested
T Have taken or will take the action requested

5. Protection of Certain Information from Disclosure: (Check appropriate boxes and sign Below)

- ☒ I (we) certify that disclosure to the public of the information regarding quantity, description, and value of the commodities or technical data contained in:
☒ Column 9 of the attached continuation sheets (If you check this box, be sure to remove column 9 from the Duplicate (Public Inspection Copy)) of the continuation sheets.
☒ Attached documents (If you check this box, be sure to edit the "Public Inspection Copy" of the documents submitted to exclude the specified information.) would place a United States person involved at a competitive disadvantage, and I (we) request that it be kept confidential.
☐ I (we) authorize public release of all information contained in the report and in any attached documents.
I (we) certify that all statements and information contained in this report are true and correct to the best of my (our) knowledge and belief.

Sign here in ink

Type or print

HIEN C. LUC -ASSISTANT VICE PRESIDENT

Date

JAN. 31, 1999

DUPLICATE (PUBLIC INSPECTION COPY) - Submit to Office of Antiboycott Compliance, Room 3893, U.S. Department of Commerce, Washington, D.C. 20230

USCOMM-DC 90-24001

ABU DHABI INTERNATIONAL BANK INC.

1020 19th Street, N.W., Suite 500
Washington D.C. 20036
(202) 842-7918

Date : 10/27/98

Issuing Bank Reference ADFD/98/2552

Our Advice No. 13337

Beneficiary:
AQUA CHEM
WATER TECHNOLOGIES DIVISION
MILWAUKEE, WI USA.

Issuing Bank:
NATIONAL BANK OF ABU DHABI
ABU DHABI

Advised through:
FIRST BANK
201 W. WISCONSIN AVE.,
MILWAUKEE, WI 53259

Expiry Date: FEBRUARY 7, 1999

Amount: \$7,125.00

Dear Sirs,

Kindly note the items marked ☒: ☒ Further to our letter dated: OCTOBER 19, 1998

☒ We are pleased to enclose herewith :

- ☐ Copy of Pre-advise telex/swift for your information. Upon receipt of original instrument we shall communicate with you. This advice and enclosure convey no engagement or responsibility whatsoever on our part.
- ☒ Original Letter of Credit.
- ☐ Copy of an authenticated telex/swift which bears our stamp and reference number and is to be considered to be the original credit instrument.
- ☐ Export Administration Regulations.

☐ Although requested to advise you of the terms of this credit, we are not instructed to add our confirmation. Consequently, this credit conveys no engagement on our part. Payment under this credit can only be made against acceptable documents and upon receipt of funds from the L/C issuing bank or its agent/correspondent bank.

☒ We hereby add our confirmation to this credit and accordingly we undertake that all draft(s) drawn under and in compliance with the terms of this Credit shall be duly honoured upon presentation to this office, Letters of Credit Dept. on or before the expiry date.

As per credit terms, payment will be made 3 working days after documents are found in order, excluding Friday.

For Acceptance, payment will be made on maturity date.

☒ As it is a condition of this credit that our charges are for your account, upon payment of documents, such charges as applicable, indicated on the attached sheet, will be deducted from proceeds.

ALL DOCUMENTS WILL BE FORWARDED VIA COURIER AT YOUR EXPENSE.

☐ For Defer-Payment. Your presentation of documents to us, will be construed as granting us permission to forward your documents to the issuing bank free of immediate payment. And payment will only be effected upon receipt of funds from the issuing bank or its agent/correspondent bank.

☒ ADDITIONAL INSTRUCTIONS IF ANY :

OUR ADVISING CHARGES OF \$75.00 AND CONFIRMATION COMMISSION OF \$200.00 ARE FOR YOUR ACCOUNT AND WILL BE DEDUCTED AT THE TIME OF NEGOTIATION.

For your information, we will report the subject L/C to the U.S. Department of Commerce.

General Terms :

- 1.- While submitting the documents for negotiation, please present an extra copy of each documents along with the original credit and/or amendment (if any).
- 2.- Please review the terms of the Letter of Credit carefully as documents presented thereunder must be in exact conformity with its terms. In the event that you will have difficulty in fulfilling any conditions, please communicate with your buyer directly to arrange for an amendment.
- 3.- Discrepancy fee and related telex costs will be for your account even though L/C specifies charges are for account of opener.
- 4.- Except otherwise expressly stated this credit is subject to the Uniform Customs and Practice for Documentary Credit (1993 revision) International Chamber of Commerce Publication No. 500.

Note: (For Issuing Bank) Even though L/C specifies charges are for account of beneficiary, we reserve the right to claim our unpaid charges from you.

Authorized Signatures

TRADE FINANCE OPERATIONS

Branch
 P.O. Box 46175
 Place ABU DHABI, UAE.



بنك أبوظبي الوطني
 NATIONAL BANK OF ABU DHABI
 "PUBLIC JOINT-STOCK COMPANY" - شركة مساهمة عامة

Swift : NBADAEATFO
 Telephone 6925775/776
 Telex 23266 MASBAN EM.
 Fax 663849

DOCUMENTARY CREDIT NO. ADFD/98/2552

TO ABU DHABI INTERNATIONAL BANK INC.
 WASHINGTON D.C.
 U.S.A.

ORIGINAL

Date 19TH OCTOBER, 1998

[X] THIS IS IN CONFIRMATION OF OUR TODAY'S TELEX.

*AVE 53259, ~~MEKAW~~ MILWAUKEE, WISCONSIN 53259, U.S.A.

Kindly note that we hereby establish our irrevocable documentary credit as per the following details. Please notify beneficiary accordingly [X] adding [] without adding your confirmation. through FIRST BANK (NA), MILWAUKEE, 201 W. WISCONSIN * PLEASE FOLLOW THE INSTRUCTIONS MARKED (X)

Beneficiary's Name & Address : AQUA CHEM, WATER TECHNOLOGIES DIVISION,
 MILWAUKEE, WISCONSIN, U.S.A.

Opened by order & for account of : Amount [] about [X] not exceeding USD. 7,125.00
 GOVT. OF ABU DHABI (U.S. DOLLARS SEVEN THOUSAND ONE HUNDRED TWENTY
 FINANCE DEPT., ABU DHABI. FIVE ONLY.)

This letter of credit is available in U.S.A. until 07TH FEBRUARY, 1999 inclusive against beneficiary's draft drawn on [X] yourselves [] ourselves [] openers payable at [X] sight [] in accordance with the terms of this credit and accompanied by the following documents bearing our letter of credit Number.

[X] Signed commercial invoice (s) in original + three copies certifying at the same time origin of goods, contents are true and authentic, prices correct and current, and that it is the only invoice for the merchandise described therein. Invoices to be legalised by the UAE Embassy/Consulate existing in the country and only in its absence, by any Arab Embassy/Consulate. ~~Abu Dhabi Water & Electricity Authority, P.O.Box 219, Abu Dhabi~~

[X] Full set "Clean on Board" Bills of Lading consigned to the order of ~~NATIONAL BANK OF ABU DHABI~~ and showing [X] "Freight Prepaid" [] "Freight Payable at Destination" and notify ~~same party~~.

[] Air Waybill consigned to NATIONAL BANK OF ABU DHABI and marked [] "Freight Prepaid" [] "Freight Payable at Destination" and notify openers.

[] Certificate of origin, separate stating name and address of manufacturers certified by Chamber of Commerce and legalised by the UAE Embassy/Consulate existing in the country and only in its absence, by any Arab Embassy/Consulate stating that the goods are of origin.

[] Supplier's certificate mentioning name and address of the manufacturers, legalised by a Notary Public and countersigned by the UAE Embassy/Consulate existing in the country and only in its absence, by any Arab Embassy/Consulate.

[X] A Quality Assurance Test Certificate issued by the suppliers confirming that the ~~goods~~ ^{spares} are manufactured in accordance with ~~the~~ ^{Abu Dhabi Water & Electricity} specifications and comply with the prevailing standards. * plus 3 copies. ~~Authority, Abu Dhabi~~ #

[X] Packing list in ~~original~~ * [] Certificate of Weight and Specification

[X] Copy of shipment advice sent by Fax/Telex by beneficiary to M/s ~~Abu Dhabi National Insurance Co., P.O. Box 839, Abu Dhabi~~ through whom insurance cover has been arranged by openers, quoting this L/C number and Policy No. ~~HI-CO2-000009~~

[] Insurance Policy or Certificate issued in the currency of this credit in negotiable form for the full invoice value plus 10% covering all risks including [] Air [] Marine [] Overland, [] SRCC [X] War / Fire / Theft / Institute Cargo Clauses 1982, () from warehouse to warehouse marked claims payable in U.A.E.

[] Carrier's certificate issued by the airlines to the effect that the carrying aircraft is neither Israeli owned nor calling at any Israeli airport during the flight.

[] Carrier's certificate issued by the Owners, Agents or Master of vessel to the effect that the carrying vessel is neither Israeli owned nor calling at any Israeli port during the voyage. This certificate is not required if Bill of Lading is issued by M/s. United Arab Shipping Company.

[] Carrier's Agent certificate confirming that the vessel is not over 15 years of age and is operating as [] Conference Line Vessel [] Regular line vessel.

Shipping marks to include : PURCHASE ORDER NO. WEA/67/98/PS/SPS

Evidencing shipment of the following goods ~~AS PER PURCHASE ORDER NO.~~ WEA/67/98/PS/SPS DATED 4/6/98, ISSUED BY ABU DHABI WATER & ELECTRICITY AUTHORITY, P.O. BOX 219 ABU DHABI.

Shipment not later than 10/JAN/1999 by [X] Sea [] Air [] From U.S.A.
 Strictly To PORT MINA ZAYED, ABU DHABI on [] CIF [X] C&F [] FOB Vessel/Aircraft [] Ex-Works

[X] Part shipments are ~~not~~ allowed. [X] Transshipment not allowed.

[X] Shipping documents called for under this credit must be presented for negotiation within 21 days from the date of shipment.

[] This credit is operative only upon payment by beneficiary all bank charges i.e. Ours and Confirming/Advising/Reimbursing/Negotiating banks charges etc.

[X] All bank charges outside U.A.E. are for account of beneficiary, including Reimbursing bank charges (if any). [] All bank charges are for account of openers.

ABU DHABI INTERNATIONAL BANK INC.

INSTRUCTIONS TO NEGOTIATING BANK :

is hereby nominated as the negotiating bank. It is kindly requested to forward original set of documents direct to us by [X] first registered airmail [] courier service certifying at the same time that all documents negotiated and other credit terms have been strictly complied with, and to reimburse itself for the value thereof, [] at maturity [] plus commission and charges, by [] debiting our account with yourselves [] drawing on our account with ~~our Main Branch account with yourselves~~

under tested telex advice to us (Telex No. 23266 MASBAN EM or swift ~~XXXXX~~ NBADAEATFO

[X] ~~For drawings above~~ three working days in advance excluding Friday, Saturday and Sunday.

[] For drawings above ten working days in advance excluding Friday, Saturday and Sunday.

Documents should not be negotiated under reserve or guarantee. In case you notice any discrepancy in the documents, refer to us the same forwarding copies of relative documents for obtaining Openers concurrence for negotiation or forward the original documents, duly stating the discrepancies, on collection basis entirely at the risk and responsibility of the beneficiary.

We hereby agree with the drawers, endorsers and bonafide holders of all drafts drawn under and in compliance with the terms of this credit, that such drafts will be duly honoured upon presentation to the drawee.

Except as otherwise expressly stated this credit is subject to the Uniform Customs and Practice for Documentary Credits (1993 revision) International Chamber of Commerce Publication 500.

Please advise us by air mail when this credit expires unutilized wholly or in part. Kindly acknowledge.

OTHER TERMS: [X] Please see Appendix "A" **

[X] Please forward the attached Purchase Order along with copy of Suppliers Quotation to the beneficiary.

[] Freight [X] Packing [X] Handling (X) FOB (X) Sea freight (X) ~~C&F~~

** which is not an integral part of this credit

DC5 R 1 / 92 (5/97)

NATIONAL BANK OF ABU DHABI
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 (Authorised Signatures)



بنك أبوظبي الوطني NATIONAL BANK OF ABU DHABI

PUBLIC JOINT - STOCK COMPANY

شركة مساهمة عامة

Head Office - Abu Dhabi

المركز الرئيسي - أبوظبي

TRADE FINANCE OPERATIONS

APPENDIX "A" TO L/C NO. ADFD/98/2552 DATED 19.10.98.

ADDITIONAL INSTRUCTIONS :

- I. The amount of this credit represents [REDACTED] Unpacked value of the items as per the Purchase Order.
- II. Shipment is to be arranged by the beneficiary.
- III. All documents, except the draft and shipment advice to the Insurance Company, should be drawn on Abu Dhabi Water & Electricity Authority, P.O. Box 219, Abu Dhabi, U.A.E.
- IV. Shipping documents should include the following:
 - 1) Certificate of origin, in duplicate, certified by U.S. Arab Chamber of Commerce and legalised by the UAE Embassy or Consulate in U.S.A. or in its absence, any other Arab Embassy or Consulate in U.S.A. stating that the goods are of U.S.A. origin.
 - 2) A Carrier's Certificate issued by the Owners, Agents or Master of vessel stating that the goods have been shipped on a carrier which has permission to enter the Arabian Gulf Territory.
 - 3) A Certificate from the Carrier's Agents confirming that the vessel is not over fifteen years of age or a Sea Worthy Certificate issued by any of the classification societies listed in the Institute Classification Clause dated 01/08/1997.
- V. Two (2) additional sets of photocopies of documents except draft and packing list should be presented alongwith each set of original documents presented for negotiation.


VICTOR FERRIS
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